



01/21/03

#GP 2876  
EV109679999Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/534,916
	Filing Date	Mar 23, 2000
	First Named Inventor	Marsh
	Group Art Unit	2876
	Examiner Name	Lee, S.
Total Number of Pages in This Submission	Attorney Docket Number	MS1-525US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) Sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO-1449 and 7 cited references Return Post Card
<input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)		
<input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	



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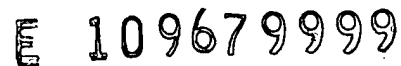
PATENT TRADEMARK OFFICE

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Allan T. Sponseller (Reg. No. 38,318)
Signature	
Date	1/17/03

CERTIFICATE OF EXPRESS MAILING			
I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as Express Mail, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. The Express Mail No. has also been marked on the listed items. Express Mail No.			
Typed or printed name	LeAnn M. Sassman		
Signature		Date	1/17/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (01-03)  
Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**Complete if Known**

Application Number	09/534,916
Filing Date	Mar 23, 2000
First Named Inventor	Marsh
Examiner Name	Lee, S.
Art Unit	2876
Attorney Docket No.	MS1-525US

<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b> 1,110.00
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**FEE CALCULATION** (continued)

☐ Charge fee(s) indicated below, **except for the filing fee** to the above-identified deposit account.

### 3. ADDITIONAL FEES

	<u>Large Entity</u>	<u>Small Entity</u>
1. <u>Revenue Recognition</u>	Revenue is recognized when it is earned and realizable, regardless of when cash is received.	Revenue is recognized when it is earned and realizable, regardless of when cash is received.
2. <u>Expense Recognition</u>	Expenses are recognized when they are incurred, regardless of when cash is paid.	Expenses are recognized when they are incurred, regardless of when cash is paid.
3. <u>Asset Valuation</u>	Assets are valued at cost, less accumulated depreciation or amortization.	Assets are valued at cost, less accumulated depreciation or amortization.
4. <u>Liability Valuation</u>	Liabilities are valued at the amount of cash or other assets that will be required to settle the liability.	Liabilities are valued at the amount of cash or other assets that will be required to settle the liability.
5. <u>Equity Valuation</u>	Equity is valued at the amount of cash or other assets that are available to the equity holders.	Equity is valued at the amount of cash or other assets that are available to the equity holders.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	410	2252	205	Extension for reply within second month
1253	930	2253	465	Extension for reply within third month
1254	1,450	2254	725	Extension for reply within fourth month
1255	1,970	2255	985	Extension for reply within fifth month
1401	320	2401	160	Notice of Appeal
1402	320	2402	160	Filing a brief in support of an appeal
1403	280	2403	140	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,300	2453	650	Petition to revive - unintentional
1501	1,300	2501	650	Utility issue fee (or reissue)
1502	470	2502	235	Design issue fee
1503	630	2503	315	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(b)
1806	180	1806	180	Submission of Information Disclosure Statement
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))
1801	750	2801	375	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

\*Reduced by Basic Filing Fee Paid

<b>SUBTOTAL (3)</b>	<b>(\$)</b>	<b>1110</b>
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Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)				(\$)	0

		Extra Claims	Fee from below	Fee Paid
Total Claims	<input type="text"/>	-20** = <input type="text"/>	X 18	= <input type="text"/>
Independent Claims	<input type="text"/>	- 3** = <input type="text"/>	X 84	= <input type="text"/>
Multiple Dependent				

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

<b>SUBTOTAL (2)</b>	<b>(\$)</b>	
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**\*\*or number previously paid, if greater; For Reissues, see above**

(Complete *if applicable*)

Date	11/2/03
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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, Washington, DC 20231.**

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#13/AndtC  
EV109679999  
11.29.03  
C. Moore

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. ....09/534,916  
Filing Date ..... March 23, 2000  
Inventor ..... Marsh  
Group Art Unit ..... 2876  
Examiner ..... Lee, S.  
Attorney's Docket No. .... MS1-525US  
Confirmation No. .... 9507  
Title: Enhancing Smart Card Usage For Associating Media Content With  
Households

**RESPONSE TO OFFICE ACTION DATED JULY 18, 2002**

To: Commissioner of Patents and Trademarks  
Washington, D.C. 20231

From: Allan T. Sponseller (Tel. 509-324-9256 x215; Fax 509-323-8979)  
Customer No. 22801



22801

PATENT TRADEMARK OFFICE

**In the Claims:**

Claims 1-22, 24-46, 50, and 52-57 were previously pending.

Claims 1, 9, 21, 26, 27, 28, 29, 34, 38, 40, 45, and 50 are amended.

Claims 1-22, 24-36, 38-46, 50, and 52-57 are pending.

Please cancel claim 37 without prejudice.

Pursuant to 37 CFR 1.121(c)(1), please replace the current versions of  
claims 1, 9, 21, 22, 24, 26, 27, 28, 29, 34, 38, 40, 45, and 50 with the following  
clean copies of claims 1, 9, 21, 22, 24, 26, 27, 28, 29, 34, 38, 40, 45, and 50:

1. (Amended) A smart card comprising:

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109.00 CH  
02 FC:1806